TRANSMITTAL FORM (to be used for all correspondence after initial filing)			Application No.	09/853,770		
			Filing Date	May 11, 2001		
			First Named Inventor	Satoshi Shigematsu		
			Art Unit			
			Examiner Name			
Total Number of Page	s in This Submission	14	Attorney Docket Number	96790P355		
ENCLOSURES (check all that apply)						
Fee Transmittal Form	· [Drawing(s)		After Allowance Communication to Group		
Fee Attached		Licensing-re	elated Papers	Appeal Communication to Board of Appeals and Interferences		
Amendment / Respon	se	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final Affidavits/decla	aration(s)	Petition to C Provisional	Convert a Application	Proprietary Information		
Extension of Time Re	quest	Power of Attorney, Revocation Change of Correspondence Address		Status Letter		
Express Abandonment Request		Terminal Disclaimer		Other Enclosure(s) (please identify below):		
Information Disclosure Statement		Request for Refund		Japanese Office Action; Prior Art References (6); return		
PTO/SB/08 Certified Copy of Priority Document(s)		CD, Numbe	er of CD(s)	postcard		
Response to Missing Parts/ Incomplete Application			1			
Basic Filing Fee Declaration/POA		Remarks				
Response to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm Eric S. Hyman, Reg. No. 30,139						
or Individual name	BLAKELY, SC	OKØLOFF,	TAYLOR & ZAF	MAN LLP		
Signature		19				
Date (1/3/4)						
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for FY 2005 Patent fees are subject to annual revision.					Application Numbe		9/853,770		
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TOTAL AMOUNT OF PAYMENT (\$) Attorney Docket No. 96790P355									
METHOD	METHOD OF PAYMENT (check all that apply)								
☐ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify):									
Deposit A	Account D	Deposit Ac	count N	lumber: <u>02-2666</u> I	Deposit Account N	Name: <u>Blakely</u>	, Sokoloff, Tay	lor & Zafman LLP	
				ount, the Director is h	nereby authorized	to: (check all t	hat apply)		
		indicated			= -			for the filing fee	
				underpayment of fee(1.18 and 1.20.	s) X Credit a	ny overpaymer	nts		
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2053	130	2053	130	Non-English specification					
1251	120	2251	60	Extension for reply within first month					
1252	450	2252	225	Extension for reply within second month					
1253	1,020	2253	510	Extension for reply within third month					
1254	1,590	2254	795	Extension for reply within fourth month					
1255	2,160	2255	1,080	Extension for reply within fifth month					
1401	500	2401	250	Notice of Appe	al				
1402	500	2402	250	Filing a brief in support of an appeal					
1403	1,000	2403	500	Request for oral hearing					
1451	1,510	2451	1,510	Petition to insti	Petition to institute a public use proceeding				
1460	130	2460	130	Petitions to the	Petitions to the Commissioner				
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)					
1806	180	1806	180						
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))					
1810	790	2810	395	For each addition	nal invention to	be examine	d (37 CFR § 1.1	29(b))	
Other f	fee (spe	cify) _					,		
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SUBMITTE	n pv						Comp	olete (if applicable)	
SUBIVITIES	וס עב			<u> </u>	Registration No.	20.120		(310) 207-3800	

SUBMITTED BY						Complete (if applicable)		
Name (Print/Type)	Eric S. Hyman	<u></u>	Registration No. (Attorney/Agent)	30,139	Telephone	(310) 207-3800		
Signature		8/11		<u> </u>	Date	1/13/05		

Based on PTO/SB/17 (12-04) as modified by Blakely, Solokoff, Taylor & Zafman with 12/15/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-150

Docket No.: 96790P355

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

e Application of:

SATOSHI SHIGEMATSU, ET AL.

Application No.: 09/853,770

Filed: May 11, 2001

For: AUTHENTICATION TOKEN AND

AUTHENTICATION SYSTEM - UTILITY

Art Group:

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

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In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in a Search Report dated January 4, 2005 (copy enclosed herewith) in a counterpart Japanese application, which was forwarded to Applicant's Representative in a communication dated January 4, 2005.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

		Respectfully submitted,
Date:	1/13/05	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
		Eric S. Hyman, Reg. No. 30,139

-2-

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (310) 207-3800

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	STATEMENT BY APPLICANT

Complete if Known

Application Number 09/853,770

Filing Date May 11, 2001

First Named Inventor Satoshi Shigematsu

Art Unit

Examiner Name

Attorney Docket Number 96790P355

Sheet 1 of 1

(use as many sheets as necessary)

U.S. PATENT DOCUMENTS **Document Number** Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear **Publication Date** Examiner Initials* Name of Patentee or Applicant of Cited Document Cite or Issue Date MM-DD-YYYY Number - Kind Code² (if known) No.1 US-

	Cite	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known) Publication MM-DD-Y			Pages, Columns, Lines,	
	No.1			Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
		JP 11-134302	05-21-1999	Mitsubishi Electric Corp.		as.
		JP 11-272349	10-08-1999	Sanyo Electric Co. Ltd.		AL
		JP 2002-157050	05-31-2002	NEC System Technologies Ltd.		A
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		JP 11-039082	02-12-1999	Fujitsu Ltd.		A6
		JP 2001312477	11-09-2001	Nippon Yunishisu KK System Needs KK		
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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.